

Patent



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: LAWRENCE	) Examiner: Richard C. Fults
Serial No.: 09/772,427	) Group Art Unit: 3628
Filing Date: January 30, 2001	Attorney Docket No.: G08.08
For: AUTOMATED POLITICAL RISK MANAGEMENT	) ) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Attorney Docket No.: G08.081

The items listed herein were cited in a Search Report received in a counterpart foreign application. A copy of the Search Report is also enclosed.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed in conjunction with an RCE (and further because the IDS was previously submitted on Sept 11, 2002, and not considered by the Examiner).

Respectfully submitted,

<u>December 11, 2003</u>

Date

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Attorney Docket No.: G08.081



Complete if Known Substitute for form 1449A/PTO **Application Number** 09/772,427 SUPPLEMENTAL INFORMATION **Filing Date** January 30, 2001 **DISCLOSURE** STATEMENT BY APPLICANT LAWRENCE, David **First Named Inventor** Group Art Unit 3628 (use as many sheets as necessary) **Examiner Name** Richard C. Fults Sheet of 2 Attorney Docket Number G08.081

				U.S. PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	U.S. Patent Do	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	11	5,696,907		Tom	12/09/97		
	2	5,991,743		Irving e tal.	11/23/1999		
	3	6,078,905		Pich-LeWinter	06/20/2000		
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Initials*	Cite No.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Attorney Docket No.: G08.081



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SUPPLEMENTAL INFORMATION DISCLOSURE				Application Numb r	09/772,427
				Filing Date	January 30, 2001
STATEMENT BY APPLICANT				First Named Inventor	LAWRENCE, David
				Group Art Unit	3628
(use as many sheets as necessary)			cessary)	Examiner Name	Richard C. Fults
Sheet	2	of	2	Attorney Docket Number	G08.081

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
1	"PCT International Search Report dated June 14, 2002 for PCT/US02/01016, 4pgs.				
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